

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015				
1	Name of Listed Entity: IL&FS Investment Managers Limited			
2	Scrip Code/Name of Scrip/Class of Security: 511208 / IVC / Equity			
3	Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)			
	a.	If under 31(1)(b) then indicate the report for Quarter ending : 30-09-2017		
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment : Not Applicable		
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
		Particulars	Yes*	No*
	1	Whether the Listed Entity has issued any partly paid up shares?		No
	2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
	3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
	4	Whether the Listed Entity has any shares in locked-in?		No
	5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	
<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>				

IL&FS Investment Managers Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No. of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	1	158333152	0	0	158333152	50.42	158333152	0	158333152	50.42	0	50.42	0	0.00	158333152	100.00	158333152
(B)	Public	40871	155699588	0	0	155699588	49.58	155699588	0	155699588	49.58	0	49.58	0	0.00	0	0.00	146228602
(C)	Non Promoter - Non Public				0	0		0	0	0	0.00	0			0.00	0	0.00	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total	40872	314032740	0	0	314032740	100.00	314032740	0.00	314032740	100.00	0	100.00	0	0.00	158333152	50.42	304561754

Table II (I)(a)- Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares heldS	Share holding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Indian																		
(a)	Individuals / Hindu Undivided Family		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Central Government / State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other (Specify)		1	158333152	0	0	158333152	50.42	158333152	0	158333152	50.42	0	50.42	0	0.00	158333152	100.00	158333152
	Bodies Corporate -																		
	Infrastructure Leasing And Financial Services Limited	AAACI0989F		158333152	0	0	158333152	50.42	158333152	0	158333152	50.42	0	50.42	0	0.00	158333152	100.00	158333152
	Sub Total (A)(1)		1	158333152	0	0	158333152	50.42	158333152	0	158333152	50.42	0	50.42	0	0.00	158333152	100.00	158333152
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		1	158333152	0	0	158333152	50.42	158333152	0	158333152	50.42	0	50.42	0	0.00	158333152	100.00	158333152

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Note : The Promoter has created encumbrance on the shares of the Company & not the pledge. Further as per the records received from Promoters DP, shares of the Company are free from any marking & the shares are not pledged

IL&FS Investment Managers Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Share holding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No. of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held(b)	No.	As a % of total Shares held(b)		
									Class eg: X	Class eg: y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Institutions																		
(a)	Mutual Fund		1	4215	0	0	4215	0.00	4215	0	4215	0.00	0	0.00	0	0.00	0	0.00	4215
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Foreign Portfolio Investor		2	1057000	0	0	1057000	0.34	1057000	0	1057000	0.34	0	0.34	0	0.00	0	0.00	1057000
(f)	Financial Institutions / Banks		12	396113	0	0	396113	0.13	396113	0	396113	0.13	0	0.13	0	0.00	0	0.00	390061
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(i)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Foreign Institutional Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total (B)(1)		15	1457328	0	0	1457328	0.47	1457328	0.00	1457328	0.47	0	0.47	0	0.00	0	0.00	1451276
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
3	Non-Institutions																		
(a)	Individuals			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		38391	80762889	0	0	80762889	25.72	80762889	0	80762889	25.72	0	25.72	0	0.00	0	0.00	71404418
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		94	37400731	0	0	37400731	11.91	37400731	0	37400731	11.91	0	11.91	0	0.00	0	0.00	37400731
	C. M. Sen	AATPS4047G		3337500	0	0	3337500	1.06	3337500	0	3337500	1.06	0	1.06	0	0.00	0	0.00	3337500
	Archana Hingorani	ABLPH8227Q		4195719	0	0	4195719	1.34	4195719	0	4195719	1.34	0	1.34	0	0.00	0	0.00	4195719
(b)	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other (Specify)		2371	36078640	0	0	36078640	11.49	36078640	0	36078640	11.49	0	11.49	0	0.00	0	0.00	35972177
	Trusts		2	54000	0	0	54000	0.02	54000	0	54000	0.02	0	0.02	0	0.00	0	0.00	54000
	Govt. Nominees		1	562	0	0	562	0.00	562	0	562	0.00	0	0.00	0	0.00	0	0.00	0
	Hindu Undivided Family		1133	5660827	0	0	5660827	1.80	5660827	0	5660827	1.80	0	1.80	0	0.00	0	0.00	5660827
	Non Resident Indians (Non Repat)		168	1343575	0	0	1343575	0.43	1343575	0	1343575	0.43	0	0.43	0	0.00	0	0.00	1342735
	Directors		5	10050000	0	0	10050000	3.20	10050000	0	10050000	3.20	0	3.20	0	0.00	0	0.00	10050000
	Susim Mukul Datta	AAGPD6963M		4750000	0	0	4750000	1.51	4750000	0	4750000	1.51	0	1.51	0	0.00	0	0.00	4750000
	Bansidhar S Mehta	AADPM4552A		3493750	0	0	3493750	1.11	3493750	0	3493750	1.11	0	1.11	0	0.00	0	0.00	3493750
	Non Resident Indians (Repat)		362	5314074	0	0	5314074	1.69	5314074	0	5314074	1.69	0	1.69	0	0.00	0	0.00	5314074
	Clearing Member		156	1657967	0	0	1657967	0.53	1657967	0	1657967	0.53	0	0.53	0	0.00	0	0.00	1657967
	Market Maker		12	101360	0	0	101360	0.03	101360	0	101360	0.03	0	0.03	0	0.00	0	0.00	101360
	Bodies Corporate		532	11896275	0	0	11896275	3.79	11896275	0	11896275	3.79	0	3.79	0	0.00	0	0.00	11791214
	Sub Total (B)(3)		40856	154242260	0	0	154242260	49.12	154242260	0	154242260	49.12	0	49.12	0	0.00	0	0.00	144777326
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		40871	155699588	0	0	155699588	49.58	155699588	0	155699588	49.58	0	49.58	0	0.00	0	0.00	146228602

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

II&FS Investment Managers Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category	Category & Name of shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No. of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
									Class eg: X	Class eg: y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available