

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - **IL&FS Investment Managers Limited**  
 2. Quarter ending - **30-Sep-2017**

<b>I. Composition of Board of Directors</b>										
Title (Mr./ Ms)	Name of the Director	DI N	P A N	Category (Chairperson /Executive/ Non-Executive/ Independent/ Nominee)	Date of Appointment	Date of cessation	Tenure	No of Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity
Mr.	RAVI PARTHASARATHY	00002392	AAKPP8872B	NED	25-Sep-1996			4	1	0
Mr.	S M DATTA	00032812	AA GP D6963M	ID,C & NED	07-Aug-2014		4 yrs & 8 months	5	10	2
Mr.	BANSI MEHTA	00035019	AA DP M4552A	NED,ID	07-Aug-2014		4 yrs & 8 months	8	9	3
Mr.	VIBHAV KAPOOR	00027271	AAL PK7034M	NED	19-Mar-2004			2	5	1
Ms.	SHUBHALAKSHMI PANSE	02599310	AFE PPO393L	ID	31-Jul-2017		5 YRS	7	4	0



Mr.	MILI ND PATE L	00 05 83 58	AA BPP 760 9R	NED	05-May- 2015			2	3	0
Mr.	RAM ESH BAW A	00 04 05 23	AAF PB7 145 J	ED	05-May- 2015			2	3	0
Mr.	HARI SAN KAR AN	00 00 23 86	AG OPS 272 1C	NED	10-Feb- 2016			4	1	0
Mr.	SUNI L MEH TA	00 06 53 43	AEB PM 713 2A	ID	08-Aug- 2016		5 year s	2	2	0

## II. Composition of Committees

<b>Audit Committee</b>			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>
1	VIBHAV KAPOOR	NED	Member
2	BANSI MEHTA	NED,ID	Chairperson
3	S M DATTA	ID,C & NED	Member

<b>Stakeholders Relationship Committee</b>			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>
1	VIBHAV KAPOOR	NED	Chairperson
2	S M DATTA	ID,C & NED	Member

<b>Risk Management Committee</b>			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>

<b>Nomination and Remuneration Committee</b>			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>
1	BANSI MEHTA	NED,ID	Chairperson
2	S M DATTA	ID,C & NED	Member
3	HARI SANKARAN	NED	Member

<b>III. Meeting of Board of Directors</b>		
<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Date(s) of Meeting (if any) in the relevant quarter</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
29-May-2017	31-Jul-2017	62

## IV. Meeting of Committees



Name of the committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive (in number of days)
Audit Committee	31-Jul-2017	3	29-May-2017	62
Stakeholders Relationship Committee	31-Jul-2017	2	29-May-2017	
Stakeholders Relationship Committee	31-Aug-2017	2	29-May-2017	
Nomination & Remuneration Committee	31-Jul-2017		29-May-2017	


V. Related Party Transactions	
Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Not Applicable
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable

#### VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name :  
Designation :

  
Sanjay Mitra  
Company Secretary & Compliance Office



### ANNEXURE III

#### Affirmations

<b>Broad Heading</b>	<b>Regulation Number</b>	<b>Compliance Status</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes

#### Note:

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here.
3. If the Listed Entity would like to provide any other information the same may be indicated here.

Name : **SANJAY MITRA**  
Designation : **Company Secretary**

