

(ON THE LETTER HEAD OF SHAREHOLDER)

Date: _____, 2020

To,
IL&FS Investment Managers Limited
The IL&FS Financial Centre, Plot No C-22,
G Block, Bandra Kurla Complex,
Bandra East, Mumbai 400051

Dear Sir/Madam,

Re : Self Declaration provided to IL&FS Investment Managers Limited (“The Company”) for availing tax treaty benefits for the Financial year 2020-21

With reference to the captioned subject and in relation to the appropriate deduction of taxes on the dividend payable to me / us by the Company, I / We hereby declare as under :

- 1) I / We, [NAME OF SHAREHOLDER], having Permanent Account Number (PAN) under the Indian Income tax Act, 1961 [mention valid PAN] and holding share/shares of the Company, hereby declare that I am / we are tax resident of [COUNTRY OF RESIDENCE] for the Financial Year 2020-21 as per tax treaty between India and [COUNTRY NAME] (hereinafter referred to as ‘said tax treaty’)
- 2) I / We confirm that I / We are entitled to claim the benefits under the said tax treaty as modified by the multilateral convention to implement tax treaty related measures to prevent base erosion and profit shifting (MLI) including but not limited to the Principal Purpose Test (PPT), limitation of benefit clause (LOB), period of holding of shares etc. as applicable
- 3) I / We hereby declare that, I am /we are the beneficial owner of the share/shares held in the Company as well as the dividend arising from such shareholding; and I / we have the right to use and enjoy the dividend received / receivable from the above shares and such right is not constrained by any contractual and / or legal obligation to pass on such dividend to another person
- 4) The claim of benefits by [NAME OF SHAREHOLDER] is not impaired in any way
- 5) I / We further declare that I / we do not have and will not have any taxable presence, fixed base or Permanent Establishment in India as per the said tax treaty during the Financial Year 2020-21
- 6) [NAME OF SHAREHOLDER] will continue to maintain the ‘tax resident’ status in his/her/its respective Country for the application of the provisions of the India-[COUNTRY OF RESIDENCE] Tax Treaty Agreement, during the Financial year 2020-21 and will inform the Company immediately if there is a change in the status

- 7) I / We hereby furnish a copy of valid Tax Residency Certificate dated _____ having Tax Identification number _____ issued by _____ along with a copy of Form 10F duly filled and signed for the period April 2020-March 2021
- 8) I / We further indemnify the Company for any penal consequences arising out of any acts of commission or omission initiated by the Company by relying on my/ our above averment
- 9) I / We hereby confirm that the above declaration should be considered to be applicable for all the shares held in the Company under PAN/ accounts declared in the form
- 10) This declaration is valid for the period 1 April 2020 to 31 March 2021
- 11) I / We hereby confirm that the declarations made above are complete, true and bona fide. This declaration is issued to the Company to enable them to decide upon the withholding tax applicable on the dividend income receivable by [NAME OF SHAREHOLDER].

Yours faithfully,

For [NAME OF SHAREHOLDER]

[Insert Signature]

Authorized Signatory [Name/designation]

Contact address: [Please insert]

Contact Number: [Please insert]

Email address: [Please insert]