

Generating awareness on availability of Dispute Resolution Mechanism at Stock Exchanges

SEBI vide Circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/76 dated May 30, 2022 issued a Standard Operating Procedure (SOP) for dispute resolution under the stock exchange arbitration mechanism for disputes against the Company and/or their Registrars & Share Transfer Agent (RTA)

SEBI vide its letter having Ref no. SEBI/HO/OIAE/2023/03391 dated January 27, 2023 has advised the listed companies to share below intimation, either by e-mails or by SMSs to all the investors, who hold the shares in physical form :

If you have any dispute against a Listed Company and/or its Registrars & Share Transfer Agent (RTA) on delay or default in processing your request, as per SEBI Circular dated 30.05.2022, you can file for Arbitration with Stock Exchange.

For more details, please see the web links of Stock Exchanges –

BSE - <http://tiny.cc/m1l2vz>

NSE - <http://tiny.cc/s1l2vz>

We would also like to once again bring to your attention that SEBI vide its circular SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 3, 2021 has mandated that all the folios have to be KYC compliant and accordingly investors were requested to register their PAN, KYC details and Nomination with the RTAs. SEBI has also directed that RTAs shall not process any service requests or complaints received from the shareholder(s) / claimant(s) till PAN, KYC and Nomination documents/details are registered. Hence, we request you to please register/update your PAN, KYC and Nomination details with our RTA, if not already done. For more information kindly visit <https://www.iimlindia.com/kyc-nomination.aspx>