

### General information about company

Scrip code	511208
NSE Symbol	IVC
MSEI Symbol	NOTLISTED
ISIN	INE050B01023
Name of the company	IL&FS INVESTMENT MANAGERS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

## Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid- up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of (A+B+C)	
								No of Voting (XIV) Rights				
								Class eg: X	Class eg:y	Total		
(A)	Promoter & Promoter Group	1	158333152			158333152	50.42	158333152		158333152	50.42	
(B)	Public	54415	155699588			155699588	49.58	155699588		155699588	49.58	
(C)	Non Promoter- Non Public											
(C1)	Shares underlying DRs											
(C2)	Shares held by Employee Trusts											
	Total	54416	314032740			314032740	100	314032740		314032740	100	

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				50.42			158333152	100	158333152
(B)	Public				49.58					151142416
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100			158333152	50.42	309475568

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights							
								No of Voting (XIV) Rights										
								Class eg: X	Class eg:y	Total								
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																	
(1)	Indian																	
(d)	Any Other (specify)	1	158333152			158333152	50.42	158333152		158333152	50.42							
Sub-Total (A)(1)		1	158333152			158333152	50.42	158333152		158333152	50.42							
(2)	Foreign																	
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		1	158333152			158333152	50.42	158333152		158333152	50.42							
B	Table III - Statement showing shareholding pattern of the Public shareholder																	
(1)	Institutions																	
(a)	Mutual Funds	1	4215			4215	0	4215		4215	0							
(e)	Foreign Portfolio Investors	1	15000			15000	0	15000		15000	0							

(f)	Financial Institutions/ Banks	9	13216			13216	0	13216		13216	0
Sub-Total (B)(1)		11	32431			32431	0.01	32431		32431	0.01
( 3 )	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	52320	92243644			92243644	29.37	92243644		92243644	29.37
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	115	38110771			38110771	12.14	38110771		38110771	12.14
(b)	NBFCs registered with RBI	1	315			315	0	315		315	0
(e)	Any Other (specify)	1968	25312427			25312427	8.06	25312427		25312427	8.06
Sub-Total (B)(3)		54404	155667157			155667157	49.57	155667157		155667157	49.57
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		54415	155699588			155699588	49.58	155699588		155699588	49.58
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		54416	314032740			314032740	100	314032740		314032740	100

Total (A+B+C )		54416	314032740			314032740	100	314032740		314032740	100
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**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)				
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)					
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
(1)	Indian												
(d)				50.42			158333152	100	158333152				
Sub-Total (A)(1)				50.42			158333152	100	158333152				
(2)	Foreign												
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				50.42			158333152	100	158333152				
B	Table III - Statement showing shareholding pattern of the Public shareholder												
(1)	Institutions												
(a)				0					4215				
(e)				0					15000				
(f)				0					7164				
Sub-Total (B)				0.01					26379				

(1)									
(3)	Non-institutions								
(a(i))				29.37					87743432
(a(ii))				12.14					38110771
(b)				0					315
(e)				8.06					25261519
Sub-Total (B) (3)				49.57					151116037
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				49.58					151142416
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					309475568
Total (A+B+C)				100			158333152	50.42	309475568
Disclosure of notes on shareholding pattern							Textual Information(1)		
Disclosure of notes on shareholding pattern for company remarks explanatory							Textual Information(1)		

<b>Text Block</b>	
Textual Information()	<p>Note : Promoter and Promoter Group - Shares pledged or otherwise encumbered As informed by the Promoter - Infrastructure Leasing &amp; Financial Services Limited (IL&amp;FS), IL&amp;FS as a Promoter of IL&amp;FS Investment Managers Limited (IIML) has offered shares of IIML, held by it as a part of the total assets to the Debenture Trustee to safeguard the interest of Debenture Holders. Accordingly, the total security package offered to the Debenture Trustees, inter alia includes shares of IIML and hence there is a lien in favour of the Debenture Trustee. Considering that the transaction is not "Loan against securities", specific charge against the shares held by IL&amp;FS in IIML is not marked as pledge with IL&amp;FS's Depository Participant. However, based on the promoters declarations pursuant to Regulation 31(1) and 31(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, the Company has provided 158,333,152 shares held by IL&amp;FS under "Shares pledged or otherwise encumbered" in its shareholding pattern</p>
Textual Information()	<p>Note : Promoter and Promoter Group - Shares pledged or otherwise encumbered As informed by the Promoter - Infrastructure Leasing &amp; Financial Services Limited (IL&amp;FS), IL&amp;FS as a Promoter of IL&amp;FS Investment Managers Limited (IIML) has offered shares of IIML, held by it as a part of the total assets to the Debenture Trustee to safeguard the interest of Debenture Holders. Accordingly, the total security package offered to the Debenture Trustees, inter alia includes shares of IIML and hence there is a lien in favour of the Debenture Trustee. Considering that the transaction is not "Loan against securities", specific charge against the shares held by IL&amp;FS in IIML is not marked as pledge with IL&amp;FS's Depository Participant. However, based on the promoters declarations pursuant to Regulation 31(1) and 31(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, the Company has provided 158,333,152 shares held by IL&amp;FS under "Shares pledged or otherwise encumbered" in its shareholding pattern</p>

**Any Other (specify)**

Searial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Infrastructure Leasing And Financial Services Limited	
PAN (II)	AAACI0989F	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	158333152	158333152
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+(VI)	158333152	158333152
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	50.42	50.42
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	158333152	158333152

Class eg:y		
Total	158333152	158333152
Total as a % of Total Voting rights	50.42	50.42
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	50.42	50.42
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)	158333152	158333152

As a % of total Shares held (b)	100	100
Number of equity shares held in dematerialized form (XIV)	158333152	158333152
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

### Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Searial No.	1	
Name of the Shareholders (I)	Bansidhar S Mehta	<a href="#">Click here to go back</a>
PAN (II)	AADPM4552A	Total
No. of fully paid up equity shares held (IV)	3493750	3493750
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+(VI)	3493750	3493750
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.11	1.11
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	3493750	3493750
Class eg:y		
Total	3493750	3493750
Total as a % of Total Voting rights	1.11	1.11

No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.11	1.11
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	3493750	3493750
Reason for not providing PAN		
Reason for not providing PAN		

## Any Other (specify)

Searial No.	1	2	3	4	5	6	7
Category	IEPF	IEPF	Trusts	Director or Director's Relatives	Director or Director's Relatives	HUF	Non-Resident Indian (NRI)
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	Category	Category	More than 1 percentage of shareholding	Category	Category
Name of the Shareholders (I)		Investor Education and Protection Fund Authority Ministry Of Corporate Affairs			Susim Mukul Datta		
PAN (II)		ZZZZZ9999Z			AAGPD6963M		
No. of the Shareholders (I)	1	1	1	1	1	1224	452
No. of fully paid up equity shares held (IV)	3292700	3292700	50000	3400000	3400000	6923935	5020394
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+(VI)	3292700	3292700	50000	3400000	3400000	6923935	5020394
Shareholding as a % of total no. of shares (calculated as per SCRR,	1.05	1.05	0.02	1.08	1.08	2.2	1.6

1957) (VIII) As a % of (A+B+C2)							
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	3292700	3292700	50000	3400000	3400000	6923935	5020394
Class eg:y							
Total	3292700	3292700	50000	3400000	3400000	6923935	5020394
Total as a % of Total Voting rights	1.05	1.05	0.02	1.08	1.08	2.2	1.6
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.05	1.05	0.02	1.08	1.08	2.2	1.6
Number of Locked in shares (XII)							
No. (a)							

As a % of total Shares held (b)								
Number of equity shares held in dematerialized form (XIV)	3292700	3292700	50000	3400000	3400000	6922248	5020394	
Reason for not providing PAN								
Reason for not providing PAN		Textual Information(1)						

Any Other (specify)						
Searial No.	8	9	10	11	12	
Category	LLP	Unclaimed or Suspense or Escrow Account	Clearing Members	Market Maker	Bodies Corporate	
Category / More than 1 percentage	Category	Category	Category	Category	Category	
Name of the Shareholders (I)						<a href="#">Click here to go back</a>
PAN (II)						Total
No. of the Shareholders (I)	8	1	47	1	232	1968
No. of fully paid up equity shares held (IV)	395057	422343	497998	6100	5303900	25312427
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+(VI)	395057	422343	497998	6100	5303900	25312427
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.13	0.13	0.16	0	1.69	8.06
Number of Voting Rights held in each class of securities (IX)						

Class eg: X	395057	422343	497998	6100	5303900	25312427	
Class eg:y							
Total	395057	422343	497998	6100	5303900	25312427	
Total as a % of Total Voting rights	0.13	0.13	0.16	0	1.69	8.06	
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.13	0.13	0.16	0	1.69	8.06	
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in	395057	422343	497998	6100	5254679	25261519	

dematerialized form (XIV)						
Reason for not providing PAN						
Reason for not providing PAN						

<b>Text Block</b>	
Textual Information(1)	Shares are transferred to Investor Education And Protection Fund Authority - Ministry Of Corporate Affairs and hence falls under the exempt category

Sr. No. Details of the SBO  
Details of the registered owner  
Details of holding/ exercise of right of the SBO in the reporting company, whether direct or  
indirect\*: Date of creation / acquisition of significant beneficial interest  
Name PAN Passport No. in case of a foreign national  
Nationality  
(Applicable in case of Any other is selected)  
Name PAN Passport No. in case of a foreign national  
Nationality  
Nationality (Applicable in case of Any other is selected)  
Whether by virtue of:  
Shares Voting rights Rights on distributable dividend or any other distribution  
Exercise of control  
Exercise of significant influence